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DT Rec'd PCT/PTO JUN 14 2002

PATENT

Docket No. H 4024 PCT/US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of
Roessler, et al.

Serial No. 10/049,330

Examiner:

Filed: 06/14/2002

Art Unit:

PCT/EP00/07496

International Filing Date: August 3, 2000

Priority Date Claimed: August 11, 1999

TITLE: METHOD FOR PRODUCING ESTERS FROM UNSATURATED
CARBOXYLIC ACIDS AND POLYHYDRIC ALCOHOLS

**TRANSMITTAL OF DECLARATION
UNDER 37 CFR SECTION 1.494/5(c)**

Commissioner for Patents
Box PCT
Washington, D.C. 20231

Attn: Paulette R. Kidwell
DO/EO/US

Sir:

No original declaration or oath was filed earlier herein.
Accordingly, enclosed is the original declaration or oath for
this application.

Please charge our **Deposit Account No. 50-1177** in the amount
of **\$130.00** as prescribed by 37 CFR 1.492(e) for the surcharge and
processing fee for filing a declaration on a date later than
20/30 months after the priority date of the application. A
triplicate of this sheet is enclosed along with an executed
declaration. **Order No. 02-0329**. Authorization is also granted
to charge any deficiency to Deposit Account 50-1177.

06/19/2002 HKAYPAGH 00000017 501177 10049330

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130.00 CH

Respectfully submitted,

June 14, 2002
Date

Aaron R. Ettelman
(Reg. No. 42,516)
Attorney for Applicants
(610) 278-4930

Cognis Corporation, Patent Dept.
2500 Renaissance Blvd., Suite 200
Gulph Mills, PA 19406

ARE/ras



UNITED STATES PATENT AND TRADEMARK OFFICE

CC: Dr. Schenker ✓
 Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/049,330	Harald Roessler	H 4024 PCT/US

23657
 COGNIS CORPORATION
 2500 RENAISSANCE BLVD., SUITE 200
 GULPH MILLS, PA 19406

INTERNATIONAL APPLICATION NO.	
PCT/EP00/07496	
I.A. FILING DATE	PRIORITY DATE
08/03/2000	08/11/1999

CONFIRMATION NO. 3683

371 FORMALITIES LETTER



OC000000007934665

c. Surcharge - 6/24/02
 (notice)

Date Mailed: 04/24/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments

RECEIVED COGNIS LAW DEPT.
 ACTION _____ INFO BC

APR 29 2002

DUE _____
 FILE H4024 PCT/US

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions

of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PAULETTE R KIDWELL

Telephone: (703) 305-3656

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/049,330	PCT/EP00/07496	H 4024 PCT/US

FORM PCT/DO/EO/905 (371 Formalities Notice)